

CORRIGENDUM-II

PART-A (Reply to Bidders Queries)

Name of work: **Application for Empanelment as General Consultant for Various Upcoming & Existing Metro Rail projects of Maha-Metro.**

Tender no (Maha-Metro): **M1/Consul-01/2019(NCB).**

S. No	Clause No.	As given in Empanelment Document	Suggestions/Clarifications	Reply
1.	5.1_Pg#6_ Notice of Information to applicant	Eligibility Criteria_ The Applicant must be a Single entity/Company registered in India under Companies Act 2013. However, if the applicant consultant is 100% fully owned company registered in India of a Foreign Company, then for the purpose of evaluation under eligibility criteria, the credentials of such Parent Foreign Company shall be considered.	GC demands for wide range of domain technical expertise and resources and as such it is difficult for one consultant to cater to the project consultancy requirement. Majority of the GC contracts in Indian Metro sector has been awarded to Joint Venture. We, therefore, suggest you to please consider Joint Venture participation.	Refer revised Eligibility criteria uploaded as Appendix-I in PART-B of this Corrigendum.
2.	5.1_Pg#6_ Notice of Information to applicant	Eligibility Criteria_ The Applicant must be a Single entity/Company registered in India under Companies Act 2013.	Is it possible to add experienced partners at later stage or not?	Not possible.
3.	5.2_Pg#6 & 7_ Notice of Information to applicant	Category 1 to 5	1. May we know if an applicant is qualified in an Upper category then would it imply that the same qualification would be applicable for all the downward categories? Example – If applicant is qualified for Category-1 then does it mean the same qualification	Refer Clause 8.6 in PART-B of this Corrigendum.



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			would be applicable for Category 2, 3, 4 & 5? Please clarify? 2. Do we need to submit separate applications for individual category or one application is enough?	
4.	5.2_Pg#8 & 7_Note of Information to applicant	Work Experience_ Value of completed portion of any ongoing work up to 31.03.2019 will also be considered for qualification of work experience criteria. For completed works, value of work done shall be updated to 31.03.2019 price level assuming 5% inflation for Indian Rupees every year and 2% for foreign currency portions per year.	We request authorities to please accept ongoing work's value as per LOA, irrespective of the amount of work executed till 31.03.19.	Tender document condition prevails.
5.	8.6_Pg#12_Note of Information to applicant	Evaluation of the application_ On evaluation of empanelment applications as above, MAHA-METRO shall prepare the list of empaneled consultants for next stage of bidding process i.e. Request for Proposal (RFP).	It is requested to disclose the evaluation criteria at bidding stage: QCBS or Least Cost? Please clarify. In case it is QCBS then shortlisted consultants should be allowed to add partners. Please consider.	Evaluation criteria at RFP stage shall be indicated as and when RFP document is issued.
6.	5.5_Pg#10_Note of Information to applicant	Eligibility Criteria_ 5.5 The applicant must submit brief narration of the Works to be undertaken as GC in terms of understanding of the role of GC in Metro Rail Projects as per the terms of reference and work plan besides the methodology for performing the assignment. 5.6 The applicant/consultant will be qualified category-wise based on the following criteria:	We believe that weightage of 30% on understanding of the work and Methodology is too heavy. At this stage all consultants are going to provide you generic Methodology covering the scope defined in the EOI. However this would not have qualitative project specific details. In addition it would be difficult for client to meticulously evaluate participating consultants as subjectivity would be very high.	Refer revised Eligibility criteria uploaded as Appendix-I in PART-B of this Corrigendum.

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7.	Pg# 1_Time Schedule	<p>(i) For compliance of clause 5.2 to 5.4 above the applicant will be awarded a maximum of 70 marks based on his experience and financial capabilities.</p> <p>(ii) For compliance of clause 5.5 above the applicant will be awarded a maximum of 30 marks depending upon his submission of understanding of the work and methodology proposed by them for performing the assignment.</p> <p>Prebid meeting: 21.06.19 Last date of tender submission: 02.07.19</p>	<p>We, therefore, request you to please keep maximum 15% weightage for Methodology at this stage. Rest 15% can be added in other evaluation criteria.</p> <p>There are only 10 days available for bid submission post pre-bid meeting. This includes weekends and time to be taken by authority to release pre-bid responses.</p> <p>We, therefore request you to please provide atleast 10 working days from the date of publishing pre-bid responses</p>	<p>Documents on sale stands modified as: Documents can be downloaded from 16.00 Hrs. on 04/06/2019 to 16.00 Hrs of 16/07/2019 from Maha-Metro's e-tender Portal.</p> <p>Last Date and time of submission of Tender stands modified as: Online submission up to 16.00 Hrs on 16/07/2019 at Maha-Metro's e-tender portal (https://mahametrorail.etenders.in).</p> <p>Date and time of opening of tender stands modified as: On 16/07/2019 at 16.30 Hours or as decided by the authority at Metro House, 28/2, Anand Nagar, Civil lines, Nagpur-440001.</p>
8.	Section: 5.1 Page No: 6 of 65	<p>The Applicant must be a Single entity/Company registered in India under Companies Act 2013. However, if the applicant consultant is 100% fully own company registered in India of a Foreign Company, then for the purpose of evaluation under eligibility criteria, the credentials of such Parent Foreign Company shall be considered</p>	<p>We request client to clarify once consultants get empanelled then for bidding in next final phase, are we allowed to form consortium with other empanelled consultants or consultants who are not empanelled. Please clarify.</p>	<p>At the RFP stage, Maha-Metro may allow an additional non-empaneled consultant as per the parameters defined in the RFP document. However, this non empaneled consultant's share in JV/Consortium at RFP stage will not be more than 5%.</p>



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9.	<p>Section: 3 Instructions to the Applicants Page No: 4 of 65</p>	<p>Financial data should be given in Indian Rupees only. In case the financial data is in any other currency, the same should be converted in Indian Rupees as per exchange rate given herein and certified by the Auditor. The exchange rate of foreign currency to INR wherever applicable shall be USD 69.63, GBP 88.21, Euro 77.92, Yen(100) 63.59.</p>	<p>We request client to kindly clarify if, the other foreign currency apart from mentioned one needs to be converted then how that needs to be done and what reference in terms of date/medium should be taken for exchange rate.</p>	<p>The reference foreign exchange rates as on 28-05-2019 can be taken from xe.com if not available in RBI.</p>
10.	<p>Section: 5.2 Work Experience Page no: 6 of 65</p>	<p>The applicants will be awarded up to a maximum 30 marks only if they have completed work (s) of value detailed below during last 7 financial years (up to 31.3.2019):</p>	<p>We understand that if a consultant gets shortlisted for mentioned Category-1 as per requirements mentioned in RFQ, then for Category 2,3,4,5 consultant gets automatically shortlisted and no additional documents need to be submitted. Please confirm.</p>	<p>Refer reply at Sr. No 3 above.</p>
11.	<p>Section: Notes Page No: 7 of 65</p>	<p>The applicant must be of National / International repute having prior experience of working as General/Project Consultant for a light, medium or large Metro System</p>	<p>We understand that as per specified clause, similar consultancy works for Light Rail Metro Systems (LRT) will be considered for evaluation. Also, please confirm whether Tramway will also be considered as LRT.</p>	<p>Experience of LRT is acceptable. But, Tramway experience will not be considered under similar work experience.</p>
12.	<p>Section: Notes (6th Bullet Point) Page No.: 8 of 85</p>	<p>The applicant shall submit details of works executed by them for the works to be considered for qualification of work experience criteria in a format prescribed in Annexure-2 of part-II of empanelment document. Documentary proof such as certificates from client clearly indicating the nature/scope of work, amount received for such</p>	<p>In case the Client Certificate is not indicating any particular detail. We request the Client to kindly allow the Consultant to submit a "Self-Certification" in addition to the Completion certificate with all the requisite explanation/elaboration with a clear undertaking that if any such data or</p>	<p>Refer revised Eligibility criteria uploaded as Appendix-I in PART-B of this Corrigendum.</p>

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		<p>work should be submitted. The offers submitted without this documentary proof shall not be evaluated.</p> <p>In case the work is executed for private client, copy of work order, bill of quantities, bill wise details of payment received certified by Chartered Accountant (CA), T.D.S certificates for all payments received and copy of final/last bill paid by client shall also be submitted.</p>	<p>explanations furnished are not true, then the same shall cause disqualification to the Consultant/Consortium. Please confirm.</p> <p>For International Projects in place of "TDS Certificates & Final/Last Bill Paid by the Client", can the Consultant submit Certificates issued by Statutory Auditors. Please Confirm.</p>	
13.	<p>Section: 8.4</p> <p>Page No.: 12 of 65</p>	<p>No grading of prequalified parties will be done at this stage. It shall be on pass / fail basis and in order to get pre-qualified, applicant has to fulfil all the eligibility criteria as per requirements of this document and also should not invite disqualifications as provided in paras 6&7</p>	<p>We understand that the pass/fail evaluation will be for.</p> <ul style="list-style-type: none"> - Work Experience: 30 Marks - Financial Standing: 20 Marks - Bid Capacity Criteria: 20 Marks <p>For the remaining 30 marks allocated for brief narration of the works to undertaken as GC in terms of understanding of the role of GC in Metro Rail Projects as per the terms of reference & work plan besides the methodology for performing assignment. We request the client to elaborate the evaluation process.</p>	<p>The Evaluation process is self-explanatory.</p>
14.	<p>Section: Proforma- Section 1</p>	<p>Applicants are to present this information in Section 1 on sheets which are to be clearly referenced as being in response to this Question-5.</p>	<p>We understand that the clause mention has type error as per eligibility requirements mentioned only single entity is allowed to submit EOI. Please confirm.</p>	<p>Please refer revised Eligibility criteria enclosed along with this document. Accordingly, the Applicants are required to furnish the information in relevant Proformas</p>



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	Page no.: 28 of 79	For the applicant, (in case of group, for each group member), state the following information in section 1		
15.	Section: Proforma-Section 3 Page no.: 30 of 79	Applicants should carefully scrutinize the Technical Criteria as elaborated in Part I - Instruction to Applicants in this Empanelment document and compile a detailed list indicating their previous experience of Consultancy to a similar nature of work. Information and documentary evidence associated with the performance and relevant experience of the applicant or each constituent member shall be clearly referenced and enclosed in Section -3. The applicant will give the above details in descending order of financial year for each Group member. Use Annexure 2 and 2(A) of this document for the purpose of providing the information of performance record.	We understand that the clause mention has type error as per eligibility requirements mentioned only single entity is allowed to submit EOI. Please confirm	Refer reply at Sr. No 14 above.
16.	Section: Annexure-5 Page No.: 41 of 65	5. The above Annexure-5 shall be duly certified by Chartered Accountant / Company Auditor in original under his signature, stamp and membership number.	Please confirm that the Original Document certifies by the Company Auditor needs to be submitted physically in addition to the online submission.	Maha-Metro confirms that the Original Document certified by the Chartered Accountant /Company Auditor needs to be submitted online in the e-tender portal of Maha-Metro only and not physically.
17.	Section: 3 (XXV) of Terms of	GC will prepare road traffic diversion plans in consultation with traffic police authorities to	In such projects, road traffic diversion plans are generally prepared by execution contractors, whereas GEC assists in	The clause stands deleted.



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	Reference (Annexure -6) Page No.: 47 of 65	ensure that traffic flow is not hampered during construction.	consultation/ approval from authorities. GEC's responsibility is generally limited to review and approval of plans as per construction sequence.	
18.	Section: 3 (XXVI) of Terms of Reference (Annexure -6) Page No.: 47 of 85	GC will prepare workable, proper, 'muck disposable plans' in consultation with relevant authorities, particularly since a large quantity of muck will be generated from excavation of elevated and Elevated portions.	In such projects, Muck disposable plans are generally prepared by execution contractors, depending upon suitability/availability of machinery and convenience. GEC's responsibility is generally limited to review and approval of plans as per construction sequence.	The clause stands deleted.
19.	Section 5.3(ii): Notes Page No.: 9 of 65	Financial data for latest last seven audited financial years has to be submitted by the applicant in the format prescribed in Annexure-5 of part-II of EOI document along with audited balance sheets. The financial data in shall be certified by Chartered Accountant / Company Auditor with his stamp and signature in original. In case audited balance sheet of the last financial year is not made available by the applicant, then the applicant has to submit an affidavit certifying that 'the balance sheet has actually not been audited so far'. In such a case the financial data of previous '4' audited financial	We understand that in case audited balance sheet of the last financial year is not made available financial data of previous '6' audited financial years will be taken into consideration for evaluation and there is a typo error in this clause. Please confirm.	Refer revised Eligibility criteria uploaded as Appendix-I in PART-B of this Corrigendum.



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20.	Page 6, Clause 5.1	<p><u>years will be taken into consideration for evaluation.</u></p> <p>The Applicant must be a Single entity/Company registered in India under Companies Act 2013. However, if the applicant consultant is 100% fully own company registered in India of a Foreign Company, then for the purpose of evaluation under eligibility criteria, the credentials of such Parent Foreign Company shall be considered.</p>	<p>As this EOI is published for General Consultancy work for various Metro Projects, we request you to add following clause for foreign partners & JV/Consortium as per other DMRC/NCRTC/Pune Metro/MEGA tenders;</p> <p>“A non-Indian firm is permitted to EOI either in a single entity or in a joint venture or consortium arrangement with any other Indian firm having minimum participation interest of 26% or their wholly owned Indian subsidiary registered in India under Companies Act-1956 with minimum 26% participation.”</p>	Replied at Sr. No 1 above.
21.	General	Date & Time of submission of Completed Application: 02.07.2019	We request you to kindly extend the due date by 3 weeks.	Replied at Sr. No 7 above.
22.	Clause 5.1, page 6 of 65	The Applicant must be a Single entity/ Company registered in India under Companies Act 2013.	<p>The requirement is for Single entity company only and the requirement to qualify for Indian firms is too stringent to meet by any Indian companies.</p> <p>Restricting it to such stringent condition only qualifies few eligible consultants' mainly foreign firms' registered in India.</p>	Replied at Sr. No 1 above.



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23.	Clause 5.2, page 6 of 65	CATEGORY-1 to CATEGORY-5	So, we request client to allow a JV/Consortium so that Indian firms can make a consortium with other foreign firms registered in India. This will enable the Indian firms get experience in Metro sector which is the need of the country for transfer of technology to Indian firms.	
24.	Clause 5.3 (iii) Notes, Page 9 of 65	Financial data for latest last seven audited financial years has to be submitted by the applicant in the format prescribed in Annexure-5 of part-II of empanelment document along with audited balance sheets. The financial data in shall be certified by Chartered Accountant / Company Auditor with his stamp and signature in original. In case audited balance sheet of the last financial year is not made available by the applicant, then the applicant has to submit an affidavit certifying that 'the balance sheet has actually not been audited so far'. In such a case the financial data of previous '4' audited financial years will be taken into consideration for evaluation.	We assume that if any consultant qualify for CATEGORY-1 they will automatically qualify for all categories and can participate in any category. Kindly confirm?	Replied at Sr. No 3 above.
			Here the requirement of the document is to submit financial data of last 7 years but in case they haven't audited balance sheet of the last financial year then they can submit an undertaking and financial data of previous '4' audited financial years will be taken into consideration for evaluation. We assume that here '4' is a typographic error it should be '6' as if audited balance sheet of the last financial year is not available than the Financial data of last '6' years will be taken into consideration for evaluation. Kindly confirm.	Replied at Sr. No 19 above.

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25.	Annexure – 6, Clause 1.1, page 43 of 65	For the said purpose Maha-Metro intends through this empanelment document select General Consultants in two categories as stipulated in this document: 1. CATEGORY-1 2. CATEGORY-2	In Annexure-6, under TOR the categories mentioned that RFP document to the pre-qualified Consultants shall be issued for Category-1, Category-2 only. Here other categories are missing, whether the issuing of RFP for Category-3, 4 & 5 are not being considered under this Terms of Reference.	Replied at Sr. No 3 above.
26.	General		Once consultants got empanelled than for how many years they will be empanelled with the organization. Kindly confirm.	Empanelment will be a dynamic process where the Empanelment will be updated periodically.
27.	Clause 5.1, page 6 of 65	The Applicant must be a Single entity/ Company registered in India under Companies Act 2013.	The requirement is for Single entity company only and the requirement to qualify for Indian firms is too stringent to meet by any Indian companies. Restricting it to such stringent condition only qualifies few eligible consultants' mainly foreign firms' registered in India. So, we request client to allow a JV/Consortium so that Indian firms can make a consortium with other foreign firms registered in India. This will enable the Indian firms get experience in Metro sector which is the need of the country for transfer of technology to Indian firms.	Replied at Sr. No 1 above.

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28.	Clause 5.2, page 6 of 65	CATEGORY-1 to CATEGORY-5	We assume that if any consultant qualify for CATEGORY-1 they will automatically qualifies for all categories and can participate in any category. Kindly confirm?	Replied at Sr. No 3 above
29.	Clause 3, Page 4 of 65	Instructions to the Applicants: Exchange rate of foreign currency to INR wherever applicable shall be USD 69.63, GBP 88.21, Euro 77.92, Yen(100) 63.59	Request you to mention other currency exchange rates for countries like Saudi Arabia, Qatar etc.	Replied at Sr. No 9 above.
30.	Clause 5.5 (i), Page 10 of 65	Methodology and work plan	We understand consultant has to submit general methodology and work plan both for performing the assignment given under TOR. Kindly confirm.	Replied at Sr. No 6 above.
31.	Clause 5, Page 6 of 65	Applicants must be single Entity	We would like to draw your attention towards a fact that these are big value contracts and normally (mostly) require partnership (consortium) between 2 or more organization for smooth execution. Kindly clarify where once shortlisted, consultant will be allowed to make JV/consortium with other companies. Whether partner company also needs to be shortlisted at empanelment stage.	Replied at Sr. No 1 above.

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32.	Page 29 of 79	Proforma – Section 2	We understand consultant doesn't need to fill any details in this proforma. Kindly confirm.	Maha-Metro confirms that the Consultant has to fill all the details in this proforma.
33.	Page 31 of 79	Proforma – Section 4: Applicant should indicate the number of staff employed (total and at specified offices). This information should be only in respect of regular employees who are for more than six month with the applicant and are specifically relevant and going to be employed on the Various Upcoming & Existing Metro Rail Projects Of Maha-Metro.	We would like to draw your attention that at this empanelment stage, location, positions & expertise required is not clear. Therefore, it's not possible to assure that the same regular employees will be employed. Request you to kindly amend this condition.	At the Empanelment stage, we need to know what is the staffing strength of the Consultant where they have been deployed and how they propose to deploy experts and Engineers for Maha-Metro projects.
34.	Annexure 3, Page 38 of 65	Financial Data – Calendar Year	As per format, calendar year is considered from March to March. Whereas in many countries the financial year end is considered to be in December. We request you to consider the same.	In this case, Financial Year January to December Financial data has to be from 2018 to 2012
35.	Page 42 of 65, Annexure 5A	Bank Certificate in Banks Letter Head	We understand that a consultant whose liquidity (as per Annexure 5) is adequate enough and qualify the threshold as mention in clause 5.3 (i) page 8 of 65 doesn't need to submit this Annexure 5A. Kindly confirm.	Tender document condition prevails.

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36.	Page 43 of 65	RFP document shall be issued to the bidders who qualify only CATEGORY-1 & CATEGORY-2. Kindly clear the confusion.	As per Clause 5, Page 6 of 65, there are 5 categories. Whereas Page 43 of 65 of TOR, it says RFP will be issues only for Categories 1 & 2. Kindly clarify.	Amended as: For the said purpose Maha-Metro intends through this empanelment document select General Consultants in five categories as stipulated in this document. CATEGORY-1 CATEGORY-2 CATEGORY-3 CATEGORY-4 CATEGORY-5
37.			If there are two sister companies of same group, who are working in India and can qualify on their own (separately). Do they need to submit separate EOI or they are allowed to do JV at later stage. Please clarify.	Rest of the content of clause are self-explanatory and remains same. If there are two sister companies of same group, who are working in India and can qualify on their own (separately), then they can participate separately in this Empanelment stage. Also, as already mentioned in the revised eligibility criteria, both sister companies must be registered as per Companies Act 1956/2013 in India. It is further clarified that they cannot use the credentials of the same Holding (Parent) company for participation in the Empanelment stage.
38.	General	Date & Time of submission of Completed Application	As there are many documents which needs to be certify by auditor/C.A, We request you	Replied at Sr. No 7 above.



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39.		<p>E tender Notice, Page 1</p> <p>MAHA-METRO invites applications for Empanelment of General Consultants (GC) for Various Upcoming & Existing Metro Rail Projects of Maha-Metro to appoint General Consultant (GC) to be executed by Maha-Metro. Empanelment Documents are being invited by the Managing Director, Maharashtra Metro Rail Corporation Limited (MAHA-METRO) from eligible and interested National Consultants having experience of working as General/Project Consultants in Metro Rail Systems;</p> <p>&</p> <p>Eligibility Criteria of Applicants, Clause 5.2 , Page 6</p> <p>The Applicant must be a Single entity/Company registered in India under Companies Act 2013. However, if the applicant consultant is 100% fully own company registered in India of a Foreign Company, then for the purpose of evaluation under eligibility criteria, the credentials of such Parent Foreign Company shall be considered.</p>	<p>to kindly extend the submission date by at least 10 days.</p> <p>Sir we would like to bring into your notice that among national consultants which are of Indian origin only Rites & 2 other firms (Barsyl & Aarvee) have worked in metro GCs in India.</p> <p>None of Indian origin consulting firms will qualify for the desired eligibility except for Rites which was part of the first GC consortium in India starting from the Delhi Metro Phase-1.</p> <p>It is kindly requested to allow the joint venture with the objective that Indian firms can also participate with international partners and enhance the skills in metro rail sector.</p> <p>As you know all the GC metro projects in India has been carried out by the international consulting firms or their registered/established subsidiaries in India with limited opportunities to the few Indian firms.</p> <p>Our Honorable Prime Minister is emphasis on "Make in India" and with exclusion of joint venture, Indian origin consulting firms can never be in a position to enhance the skills in metros.</p>	<p>Replied at Sr. No 1 above.</p>



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40.		Eligibility Criteria of Applicants, Clause 5.2 Work Experience	As on date 12 Metro GC tenders which includes "Chennai Metro Phase-1 &2, Ahmedabad, Lucknow, DMRC, Bangalore, Mumbai Line (1/2&7/3/4/5&9), Nagpur Metro" has been floated in India and all these tenders had the provision for the joint venture formation. Even the Metro DDC tenders are having the provision for the joint venture. Hence, we request you to please allow the joint venture under the eligibility of the applicant with limitation of (1 Lead + Other Member) with participation under international competitive bidding (ICB).	Refer revised eligibility criteria attached as Appendix-I in PART-B of this Corrigendum.
41.	Eligibility Criteria of Applicants; Clause 5.2. Work Experience & Refer TOR Clause 1.1	Under Work Experience, 5 categories have been mentioned, however under Terms of Reference (TOR) Clause 1.1 only category 1 & 2 has been specified. It seems that the current empanelment will be applicable for the Category 1 & 2 only. Kindly confirm.	We envisage that ongoing works will also be considered under "similar consultancy work" and will be given due weightage under evaluation procedure provided the fee received criteria is being sufficed by the firm as on 31/03/2019. Kindly Confirm.	Maha-Metro confirm that the Empanelment will be for all the five Categories mentioned in the document.



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42.		Eligibility Criteria of Applicants; Clause 5.2. Work Experience Category 1 to 5	We envisage that consultant/applicant who suffice the eligibility criteria under Category -1 will obviously get shortlisted for the Category 2 also. Kindly confirm.	Refer reply at Sr. No 3 above.
43.		Evaluation procedure post empanelment	What will be the evaluation procedure post empanelment? Kindly Confirm & Also post empanelment is there any cap on the qualified applicants or is it like the applicant who all score 70 out 100 will be eligible for the next stage. Kindly Confirm.	Tender document condition prevails.
44.		Status of the funding	What is the status of the funding arrangement for these 4 metro projects?	Details will be given at the time of issue of RFP.
45.		Errors in page numbers	There are plenty of errors in the page numbers, like after page 17/65 it goes to 26/79, also after page number 33/79 it goes to 36/85. Kindly provide the revised document with desired corrections.	Tender document Condition prevails.
46.	Clause 5, pg no 6	ELIGIBILITY CRITERIA OF APPLICANTS: 'Similar consultancy work' means a General / Project Consultancy work for Metro Systems involving the work of framing specifications, drawing up contract documents, project management and	Kindly amend the criteria to Similar consultancy work' means a General / Project Consultancy work for Metro Systems/ Railway works involving project management and supervision including	Tender document condition prevails.



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		supervision including inspection, safety and quality, testing and commissioning of the work.	inspection, safety and quality, testing and commissioning of the work.	
47.	Clause 5.2, page 6	Work Experience: The applicants will be awarded up to a maximum 30 marks only if they have completed work(s) of value detailed below during last 7 financial years (up to 31.3.2019):	Kindly consider the completed works of value during last 10 years.	Refer revised eligibility criteria attached as Appendix-I in PART-B of this Corrigendum.
48.	Clause 5.4, pg no 10	5.4 Bid Capacity Criteria: Value of existing commitments for on-going Consultancy works during period of 60 months w.e.f. 01.04.2019 shall be submitted by the applicant as per format prescribed in Annexure-4 and Annexure-4A of part-II of empanelment document. These data shall be certified by the Chartered Accountant / Company Auditor with his stamp and signature in original.	Kindly allow for submission of Annexure 4 and Annexure 4A without certification of Chartered Accountant.	Tender document condition prevails.
49.	Pg no 38	Annexure 3: Financial Data: (Consultancy Works Done During the Latest Last Seven Financial Years)	As the balance sheet for the year 2018- 19 is not availed yet, kindly consider the data up to year of 2017-18	Replied at Sr. No 19 above.
50.	Pg no 41	Annexure 5: Financial Data year - 2018-2019	As the balance sheet for the year 2018- 19 is not availed yet, kindly consider the data up to year of 2017-18.	Replied at Sr. No 19 above
	Pg no 43	For the said purpose Maha-Metro intends through this empanelment document select General	Kindly clarify about the selection of other categories.	Refer reply at Sr. No 36 above.



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		Consultants in two categories as stipulated in this document. 1. CATEGORY-1 2. CATEGORY-2		
52.	NIT Clause 5.1	The Applicant must be a Single entity/Company registered in India under Companies Act 2013	Please clarify the JV/Consortium is not allowed for pre-qualification Empanelment. If it is allowed, please provide respective information and annexure Performa.	Replied at Sr. No 1 above.
53.	NIT Clause 5.2	Work Experience	Employer requested for submission of work experience in form of Category 1, 2 3,..... If applicant is qualified for more than one category, then applicant need to submit the separate proposal for other category? Please clarify.	Replied at Sr. No 3 above.
54.	NIT Clause 5.2	Work Experience The applicant must be of National / International repute having prior experience of working as General/Project Consultant for a light, medium or large Metro System.	If applicant have experience of High speed, Freight system or any other railway system, shall be considered as work experience. Please consider.	Refer revised eligibility criteria attached as Appendix-I in PART-B of this Corrigendum.
55.	NIT Clause 5.2	Work Experience 'Similar consultancy work' means a General / Project Consultancy work for Metro Systems involving the work of framing specifications, drawing up contract documents, project management and supervision including	Employer stated the Similar consultancy work means general / Project Consultancy work for Metro Systems, whereas we request to consider the High speed, Freight system or any other railway system shall be	Refer reply at Sr. No 54 above.



S. No	Clause No.	As given in Empanelment Document	Suggestions/Clarifications	Reply
		inspection, safety and quality, testing and commissioning of the work.	part of the similar consultancy work. Please consider.	
56.	NIT Clause 5.2	Work Experience 'Similar consultancy work' means a General / Project Consultancy work for Metro Systems involving the work of framing specifications, drawing up contract documents, project management and supervision including inspection, safety and quality, testing and commissioning of the work.	Employer stated the Similar consultancy work means general / Project Consultancy work for Metro Systems, whereas any work related to concept design, preliminary design, detailed design or any form of consultancy work related to railway industry shall be considered as Similar consultancy work. Please confirm	Refer reply at Sr. No 54 above.
57.	General		Delivery of consultancy project format is different in different countries like wise separate systems & civil contracts. Therefore, instead of single work covering whole scope, different works separately covering the scope of civil and systems shall also be considered. Please conform.	Refer revised eligibility criteria attached as Appendix-I in PART-B of this Corrigendum.
58.	NIT Clause 5.3	Financial Standing	Employer defined financial standing for each category, Do we need to submit the financial standing for each category, if qualified for more than one category? Please clarify.	Refer clause 8.6 (b) in addendum of Corrigendum-II.
59.	TOR of GC Clause 1.1	For the said purpose Maha-Metro intends through this empanelment document select General Consultants in two categories as stipulated in this document.	As stated under clause GC will be selected in two categories whereas NIT Clause 5.2/5.3 requested information in 5 categories . Please clarify the selection process in two	Refer reply at Sr. No 36 above.

S. No	Clause No.	As given in Empanelment Document	Suggestions/Clarifications	Reply
		1. CATEGORY-1 2. CATEGORY-2	categories instead of requested information in 5 Categories.	
60.	Toll kit for using E-tender portal of maha metro	Section C stated the Financial Bid Section: - All prices/Commercial offers/ or any information pertain to commercial offer required by MAHA-METRO from the bidders, shall be filled/ uploaded (If directed by MAHA-METRO) in Financial bid Section only.	Tender does not include any financial quote. Therefore, Please ensure that Financial section will be empty for Empanelment of GC. Please confirm	Maha-Metro confirms that the Financial section will be empty for Empanelment of GC.
61.	General		It is understood from EOI that parent company credentials are eligible to bid, even if we bid in the name of Indian entity. Please confirm.	Tender document condition prevails.
62.	General	General	Please specify the duration of empanelment	Refer reply at Sr. no 26 above.
63.	Page-12, Clause-8	Each Applicant shall submit only one Proposal in response to this document	We understand that a single document is required to be submitted for empanelment in all categories. Please clarify	Refer reply at Sr. no 3 above.
64.	General	General	Please specify if there is any limit to the number of categories a consultant would be empaneled	Refer reply at Sr. no 3 above.
65.	Page-6	General	If a consultant is eligible in category 1 (largest category), then we understand that he will be eligible and empaneled in all other categories (2, 3, 4, 5) as well.	Refer reply at Sr. no 3 above.



S. No	Clause No.	As given in Empanelment Document	Suggestions/Clarifications	Reply
66.	Page-8 Clause 5.1 & Page- 41 Point no 6	Banking reference	Similarly if a consultant is eligible in category 2, then he will be obviously eligible and empaneled in category (3,4,5) as well We understand that if the applicant has adequate liquidity than it is not mandatory for him to submit the banking reference Please clarify	Yes your understanding is correct.
67.	General	General	Request you to please clarify whether an empaneled consultant, can tie up with other non-empaneled consultant at RFP stage	Refer reply at Sr. no 8 above.
68.	Page -9, Section 5, Notes	In case audited balance sheet of the last financial year is not made available by the applicant, then the applicant has to submit an affidavit certifying that 'the balance sheet has actually not been audited so far'. In such a case the financial data of previous '4' audited financial years will be taken into consideration for evaluation	We understand that the turnover of last 7 years of the applicant will be evaluated as per clause T3 of page-9. Hence we request you to modify the clause as in case audited balance sheet of the last financial year is not made available by the applicant, then the applicant has to submit an affidavit certifying that 'the balance sheet has actually not been audited so far'. In such a case the financial data of previous '7' audited financial years will be taken into consideration for evaluation	Refer reply at Sr. no 19 above.
	Page 31, Proforma	Staff: Applicants should indicate the number of staff employed (total and at specified offices).	We request the client to modify clause as Staff: Applicants should indicate the	Tender document condition prevails.



S. No	Clause No.	As given in Empanelment Document	Suggestions/Clarifications	Reply
	section 4	This information should be only in respect of regular employees who are for more than six months with the applicant and are specifically relevant and going to be employed on the Various Upcoming & Existing Metro Rail Projects of Maha-Metro	number of staff employed (total and at specified offices). This information should be only in respect of regular employees who are for more than six months with the applicant and are specifically relevant to the assignment.	
70.	Page -6,7 and Page-43	Categories of Empanelment	As per page 6, 7 there are 5 categories of empanelment, whereas as per page 43, clause-1.1, there are only 2 categories mentioned. Please clarify	Refer reply at Sr. no 36 above.
71.	Page-7	Value of completed portion of any ongoing work up to 31.03.2019 will also be considered for qualification of work experience criteria	As per page -7, ongoing projects will be considered as eligible projects, and hence these projects should be showcased in Annexure-2. Please clarify if these projects should be incorporated in Annexure -4 and Annexure-4A as well, because this would unnecessary duplicate the data and increase the number of pages of the proposal.	Tender document condition prevails.
72.	Page-60, clause-5.2	The GC shall provide in its offer the proposal for staffing schedule for the entire period of implementation of the project including the period of commissioning.	The document does not have any specific format of staffing schedule. We request you to please provide the same.	Refer Proforma Section 4 enclosed with Appendix-II of this Corrigendum.
	General	Last date of submission	Request you to provide at least 3 weeks' time for submitting document after reply to pre-bid queries is issued	Refer reply at Sr. no 7 above.



S. No	Clause No.	As given in Empanelment Document	Suggestions/Clarifications	Reply
74.		Clause Reference: Notice of Invitation to Applicants; Cl. No. 5.2 Work Experience; Pg. No. 7 of 65, Notes. In case the work is executed for private client, copy of work order, bill of quantities, bill wise details of payment received certified by Chartered Accountant (CA), T.D.S certificates for all payments received and copy of final/last bill paid by client shall also be submitted.	For reputed Private Client - Documentary proof such as certificates from client clearly indicating the nature/scope of work for such work should be submitted. Please consider	Tender document condition prevails.
75.		Clause Reference: Notice of Invitation to Applicants; Cl. No. 5.2 Work Experience; Pg. No. 7 of 65, Notes. Value of completed portion of any ongoing work up to 31.03.2019 will also be considered for qualification of work experience criteria	In this we understand that the value of the ongoing works for more than last 7 Financial Years will be considered. Please confirm.	Refer revised eligibility criteria enclosed as Appendix-I with this Corrigendum.
76.		General Evaluation Criteria of Technical Score	Please share the detailed break-up of the Technical Score for the components like – Work Experience, Financial Standing, Bid Capacity and Methodology.	Tender document condition prevails.
77.		Clause Reference: Notice of Invitation to Applicants; Cl. No. 5.3 Financial Standing; T 1 – Liquidity; Pg. No. 9 of 65. Annexure – 5A (Bank Reference for Liquidity)	We understand if the bidder has required working capital which is certified in the annual financial sheets of bidder and have the positive net worth, in that case the Bank certificate regarding banking reference for liquidity is not required. Please Confirm	Yes your understanding is correct.
78.	5.1/Page no. 6	The Applicant must be a single entity/Company registered in India under companies Act 2013...	Does Maha-Metro considering for formation of JV/Consortium for the specialised field during the RFP stage? As per prevailing practices for similar work, JV/Consortium are permitted to	Refer reply at Sr. no 1 above.

S. No	Clause No.	As given in Empanelment Document	Suggestions/Clarifications	Reply
79.	5.2/Page no: 6	Work Experience: The applicants will be awarded a maximum 30 marks only, if they have completed work (s) of value detailed below during last 7 financial years (upto 31.03.2019)	utilise/incorporate the "State of Art" technologies and methodologies. If the Consultant qualifies for the higher category, say category-1. Will it be deemed to qualify automatically for Category-2,3,4 and 5?. Please clarify. We suggest that the consultant qualifies for the higher category, may be given the chance for subsequent lower categories.	Refer reply at Sr. no 3 above.
80.	-do-	-do-	Will it be considered, if applicant provides the certificates for completed work for the range of value for similar consultancy work as mentioned for different categories, in the same offer?. Please clarify.	Refer reply at Sr. no 3 above.
81.	8/Page no.12	Each applicant shall submit only one Proposal in response to this document. Submission of more than one Proposal by any Applicant shall be the sufficient ground for disqualification of the Applicant.	Does it mean that, if the Applicant is interested for category 1,2,3,4 and 5 shall apply for category-1 only?. Please Clarify.	Refer reply at Sr. no 3 above.

S. No	Clause No.	As given in Empanelment Document	Suggestions/Clarifications	Reply
82.	1.1/Page no.43	For the said purpose Maha-Metro intends through this Empanelment document select General Consultants in Two Categories as stipulated in this document 1. CATEGORY-1 2. CATEGORY-2	However, clause 5.2 (Work Experience) states five categories, CATEGORY-1, CATEGORY-2, CATEGORY-3, CATEGORY-4 and CATEGORY-5. Please clarify.	Refer reply at Sr. no 36 above.
83.	5.1	The Applicant must be a Single entity/ Company registered in India under Companies Act 2013.	Kindly allow JV as there is multi-disciplinary requirements and it will be difficult to match the eligibility criteria by single specialized organization.	Refer reply at Sr. no 1 above.
84.	5.2 (Notes, 2nd point)	Similar consultancy work' means a General / Project Consultancy work for Metro Systems involving the work of framing specifications, drawing up contract documents, project management and supervision including inspection, safety and quality, testing and commissioning of the work.	Kindly allow Project Consultancy works done for Railway projects also.	Tender document condition prevails.
85.	5.2 (Notes, 3rd point)	The applicant must be of National / International repute having prior experience of working as General/ Project Consultant for a light, medium or large Metro System	Kindly allow experience of Railways also.	Tender document condition prevails.
86.	6,7	5.2 Work Experience	<i>Para 5.2 Work Experience – The applicant must of completed works of value detailed below during the last 7 financial years upto 31.3.2019</i>	Refer revised eligibility criteria enclosed as Appendix-I with this Corrigendum.

S. No	Clause No.	As given in Empanelment Document	Suggestions/Clarifications	Reply
			<p><i>Category -1 (For GC of value 200 Cr) Category -2 (For GC of value 150 Cr) Category -3 (For GC of value 100 Cr) Category -4 (For GC of value 75 Cr) Category -5 (For GC of value 50 Cr)</i></p> <p>It is requested that the above Para 5.2 Work Experience – The applicant must of completed works of value detailed below during the last 10 financial years upto 31.3.2019 may be considered.</p> <p>Further kindly clarify that one Consultant who meets the eligibility for all the above categories can apply for all the empanelment categories?</p>	
87.	6, 38	Para 5.1 Annexure 3: Financial Data	As per Para 5.1 it is mentioned that the applicant consultant is 100% fully owned company registered in India for the purpose of eligibility criteria can use the credentials of the parent foreign company. However as per page 38 in the Annexure 3: Financial Data, notes 2	Refer revised form enclosed as Appendix-II with this Corrigendum.



S. No	Clause No.	As given in Empanelment Document	Suggestions/Clarifications	Reply
88.	10	5.5	<p>mention that all such documents reflect the financial data of the tenderer and not that of the sister/parent company.</p> <p>This is discrepancy and is requested in case of fully owned subsidiary company the financial data of parent company (foreign) must be considered.</p> <p>As per Para 5.5, it is mentioned that the applicant must submit brief narration of the Works to be undertaken as GC in terms of understanding in the role of GC as per ToR and provide the Work Plan and Methodology.</p> <p>Further as per para 5.6(iii), 30 marks have been allocated towards this. It is requested that the format for Approach and Methodology along with Work Plan may be provided. Also it may be clarified if any page restriction is there?</p> <p>A breakdown of the 30 marks may be provided so that the Consultants can cater towards this in their preparation for approach and methodology.</p>	Refer reply at Sr. no 6 above.
89.	Page 1	MAHA-METRO invites applications for Empanelment of General Consultants (GC) for Various Upcoming & Existing Metro Rail Projects of Maha-Metro to appoint General Consultant (GC) to be executed by Maha-Metro. Empanelment	Are only National Consultants allowed to participate?	Refer reply at Sr. no 1 above.

S. No	Clause No.	As given in Empanelment Document	Suggestions/Clarifications	Reply
		Documents are being invited by the Managing Director, Maharashtra Metro Rail Corporation Limited (MAHA-METRO) from eligible and interested National Consultants having experience of working as General/Project Consultants in Metro Rail Systems by e-tendering system in portal https://Mahametrorail.etenders.in...	This restriction may be removed to allow international companies of repute to partner with National companies of repute to participate in the tender in the form of JV/Consortium. One firm may not have the ability to carry out the entire scope themselves and in projects elsewhere formation of JV/Consortium has been allowed.	
90.	6/Clause 5.1	The Applicant must be a Single entity/Company registered in India under Companies Act 2013. However, if the applicant consultant is 100% fully own company registered in India of a Foreign Company, then for the purpose of evaluation under eligibility criteria, the credentials of such Parent Foreign Company shall be considered.	This eligibility criteria is not allowing an Indian company of repute to be eligible if they don't meet all the eligibility criteria by themselves even if they are ready to team up with an international company of repute to jointly meet the criteria. On the other hand an Indian company which is 100% fully owned by a foreign company is allowed to use the credentials of the foreign company. We request MAHA-METRO to allow JV / consortium of up to 3 parties be allowed to participate thereby bringing in industry best practice.	Refer reply at Sr. no 1 above. .
91.	6/Clause 5.2 Notes 3 rd bullet	The applicant must be of National / International repute having prior experience of working as General/Project Consultant for a light, medium or large Metro System.	We request you to modify as Applicant or JV/Consortium	Refer reply at Sr. no 1 above.
92.	6/Clause 5.2	The applicants will be awarded upto a maximum 30 marks only if they have completed work(s) of value detailed below during last 7 financial years (up to 31.3.2019):	We request that the past cut-off date is increased to last 12 years	Tender document condition prevails.



S. No	Clause No.	As given in Empanelment Document	Suggestions/Clarifications	Reply
93.	43/Clause 1.1select General Consultants in two categories.....	There are five categories mentioned at Page 6/clause 5.2. Kindly clarify.	Refer reply at Sr. no 36 above.
94.	Page 59/Tendering Procedure Para C ii	Financial Bid Section: - All prices/Commercial offers/ or any information pertain to commercial offer required by MAHA-METRO from the bidders, shall be filled/ uploaded (If directed by MAHA-METRO) in Financial bid Section only.	There is no commercial offer requirement in the present case. So this para must be not applicable.	Maha-Metro confirm that there is no financial offer in Empanelment stage.
95.	Date and time of submission of completed application	12-07-2019	We request for extension of two weeks for submission of the empanelment document in-order to allow reasonable time for forming of Consortium and collating the information for submission.	Refer reply at Sr. no 7 above.
96.	Clause No. 5.1, Page NO.6	The Applicant must be a Single entity/Company registered in India under Companies Act 2013. However, if the applicant consultant is 100% fully own company registered in India of a Foreign Company, then for the purpose of evaluation under eligibility criteria, the credentials of such Parent Foreign Company shall be considered.	As per Section 3 (l) (b) of Companies Act 2013, for forming a private company, minimum 2 shareholders are required. In case of a subsidiary company with its 99.90% shareholding with parent company and remaining shareholding of 0.1% is being held by the Group companies of parent Company. Please confirm whether the subsidiary company will be treated as a 100% fully own subsidiary of the parent company?	Refer revised eligibility criteria attached as Appendix-1 in PART-B of this Corrigendum.
97.	Clause No. 5.1, Page NO.6	The Applicant must be a Single entity/Company registered in India under Companies Act 2013.	We request the Client to kindly allow Joint Venture / Consortium arrangements of firms to participate in reference to the quantum of works to be undertaken. Please confirm	Refer reply at Sr. no 1 above.

S. No	Clause No.	As given in Empanelment Document	Suggestions/Clarifications	Reply
98.	Clause No. 5.2, Page NO.7	'Similar consultancy work' means a General / Project Consultancy work for Metro Systems involving the work of framing specifications, drawing up contract documents, project management and supervision including inspection, safety and quality, testing and commissioning of the work.	We request the Client to kindly allow Project Management Consultancy as similar assignments. Please confirm	Tender document condition prevails.
99.	General	Five categories are mentioned for GC works as per Clause 5.2. However, as Please clarify how a consultant shall be selected for both the per the Page 43, Clause 1.1, it is mentioned that this empanelment document categories? We understand that a consultant who qualifies for shall be required to select General Consultants in only first two categories.	Please clarify how a Consultant can be selected for both the Categories. We understand that a consultant who qualifies for the Category1, shall be automatically selected for the Category2. Please confirm	Refer reply at Sr. no 3 above.
100	Page 41, Annexure 5	Financial data for last 7 years is required	As a general practice, we request the Client to kindly consider financial data only for the last five years. Please confirm	Refer revised Eligibility criteria attached as Appendix-I with this Corrigendum.
101	5.1	The Applicant must be a Single entity/ Company registered in India under Companies Act 2013.	Kindly allow JV as there is multi-disciplinary requirements and it will be difficult to match the eligibility criteria by single specialized organization.	Refer reply at Sr. No 1 above.
102	5.2 (Notes, 2nd point)	Similar consultancy work' means a General / Project Consultancy work for Metro Systems involving the work of framing specifications, drawing up contract documents, project management and supervision including inspection,	Kindly allow Project Consultancy works done for Railway projects also.	Tender document condition prevails.

S. No	Clause No.	As given in Empanelment Document	Suggestions/Clarifications	Reply
		safety and quality, testing and commissioning of the work.		
103	5.2 (Notes, 3rd point)	The applicant must be of National / International repute having prior experience of working as General/ Project Consultant for a light, medium or large Metro System	Kindly allow experience of Railways also.	Tender document condition prevails.
104	NIT, Point No. 3, page 4	Financial data should be given in INR only. In case the financial data is in any other currency, the same should be converted in Indian Rupees as per exchange rate given herein and certified by the auditor. The Exchange rate of foreign currency to INR applicable shall be USD 69.63, GBP 88.21, Euro 77.92, Yes(100) 63.59	We request you to please allow certification of financial date from Auditor or Chartered Accountant. We are Honk Kong based company and our Financial Data is in HKD so kindly provide exchange rate for HKD or reference to use exchange rate for the same.	Refer reply at Sr. No 9 above.
105	NIT, Point No. 5, Eligibility Criteria	The applicant must be a single entity/company registered in India under companies Act 2013. However, if applicant consultant is 100% fully own company registered in India of a foreign company, the for the purpose of evaluation under eligibility criteria, the credentials of such parent foreign company shall be considered.	We are Hong Kong based company registered in Hong Kong and having branch office in India. it's a International Competitive Bidding so request you to please allow foreign companies having branch office in India to qualify in the subject tender.	Refer reply at Sr. No 1 above.

**CORRIGENDUM-II
PART-B (Addendum)**

Name of work: **Application for Empanelment as General Consultant (GC) for various Upcoming Projects of Maha-Metro.**
Tender no (Maha-Metro): **M1/Consul-01/2019(NCB).**

Sr. No	Clause No.	As given in Empanelment Document	Amendment
1	Clause 5 Eligibility Criteria	Eligibility Criteria of Applicants	Refer revised Eligibility criteria Clause 5 enclosed as Appendix-I of this Addendum.
2	Forms and Proforma		Refer revised forms and proforma enclosed as Appendix-II of this Addendum.
3	Clause 8.6		<p>Clause 8.6 in the section EVALUATION OF APPLICATION Amended as below:</p> <p>8.6 On evaluation of empanelment applications as above, MAHA-METRO shall prepare the list of empaneled consultants for next stage of bidding process i.e. Request for Proposal (RFP).</p> <p>a) Only one application will be considered either as a Single entity or JV/Consortium for this Empanelment stage.</p> <p>b) A Consultant/JV/Consortium qualifying for a Category automatically qualifies for all the lower Categories also.</p> <p>c) JV/Consortium once Empaneled cannot be dissolved.</p>



**Executive Director/ Procurement
Maha-Metro**

5. ELIGIBILITY CRITERIA OF APPLICANTS:

The application for this empanelment will be considered only from those applicants who meet requisite eligibility criteria prescribed below: -

- 5.1 The Applicant must be a Single entity/Company registered in India under Companies Act 1956/2013. In case of JV/Consortium the above criteria will apply to each member of the JV/Consortium also. Further, maximum three partners/members will be allowed for JV/Consortium and every partner/member must have minimum share of 10% in the JV/Consortium. The lead member shall have major percentage of share in the JV/Consortium. If the applicant consultant is a subsidiary company registered in India of a Foreign Holding (Parent) Company, then for the purpose of evaluation under eligibility criteria, the credentials of such Foreign Holding (Parent) Company shall be considered. Further, such Foreign Holding (Parent) Company must hold minimum 90% share of the Subsidiary Company.**

Note:

- The applicant shall submit certificate of Company Registration, details of company's constitution/shareholding, details of Foreign Holding (Parent) Company (if any) duly certified by Chartered Accountant / Company Auditor with his stamp and signature. Further the Applicant shall submit all the relevant documents like Power of Attorney-Annexure-A1&A2, Memorandum of Undertaking-Annexure-A3, Undertaking-Annexure-A4 and other details as asked in **Appendix-II-Proforma Section 1-5.**

- 5.2 Work Experience:** The applicants will be awarded upto a maximum 30 marks only if they have completed work(s) of value detailed below during last 10 years (up to 31.3.2019):

CATEGORY-1 (For GC of value 200 Cr)

At least one similar consultancy work of value of **INR 1600 million (US \$ 22.98 million) or more and in case of already existing JV/Consortium the partners must suffice the requirement jointly.**

OR

Two similar consultancy works with combine total value of **INR 2000 million (US \$ 28.73 million) or more and in case of existing/New JV/Consortium all the partners must suffice the requirement jointly.**

OR

Three similar consultancy works with combine total value of **INR 2400 million (US \$ 34.48 million) or more and in case of existing/New JV/Consortium all the partners must suffice the requirement jointly.**

CATEGORY-2 (For GC of value 150 Cr)

At least one similar consultancy work of value of **INR 1200 million (US \$ 17.23 million) or more and in case of already existing JV/Consortium the partners must suffice the requirement jointly.**

OR

Two similar consultancy works with combine total value of **INR 1500 million (US \$ 21.55 million) or more and in case of existing/New JV/Consortium all the partners must suffice the requirement jointly.**

OR

Three similar consultancy works with combine total value of **INR 1800 million (US \$ 25.86 million) or more and in case of existing/New JV/Consortium all the partners must suffice the requirement jointly.**



CATEGORY-3 (For GC of value 100 Cr)

At least one similar consultancy work of value of **INR 800 million (US \$ 11.49 million) or more and in case of already existing JV/Consortium the partners must suffice the requirement jointly.**

OR

Two similar consultancy works with combine total value of **INR 1000 million (US \$ 14.36 million) or more and in case of existing/New JV/Consortium all the partners must suffice the requirement together jointly.**

OR

Three similar consultancy works with combine total value of **INR 1200 million (US \$ 17.24 million) or more and in case of existing/New JV/Consortium all the partners must suffice the requirement together jointly.**

CATEGORY-4 (For GC of value 75 Cr)

At least one similar consultancy work of value of **INR 600 million (US \$ 8.62 million) or more and in case of already existing JV/Consortium the partners must suffice the requirement together jointly.**

OR

Two similar consultancy works with combine total value of **INR 750 million (US \$ 10.77 million) or more and in case of existing/New JV/Consortium all the partners must suffice the requirement together jointly.**

OR

Three similar consultancy with combine total value of **INR 900 million (US \$ 12.93 million) or more and in case of existing/New JV/Consortium all the partners must suffice the requirement together jointly.**

CATEGORY-5 (For GC of value 50 Cr)

At least one similar consultancy work of value of **INR 400 million (US \$ 5.75 million) or more and in case of already existing JV/Consortium the partners must suffice the requirement together jointly.**

OR

Two similar consultancy works with combine total value of **INR 500 million (US \$ 7.18 million) or more and in case of existing/New JV/Consortium all the partners must suffice the requirement together jointly.**

OR

Three similar consultancy works with combine total value of **INR 600 million (US \$ 8.62 million) or more and in case of existing/New JV/Consortium all the partners must suffice the requirement together jointly.**

Notes:

- Figures in USD in para 5.2 are just indicative. For evaluation purpose, the value of work(s) done in foreign currency would be converted in INR only as per exchange rate prescribed in this document duly adding 2% escalation per year upto 31.03.2019.
- ‘Similar consultancy work’ means a General / Project Consultancy work for light, medium or large Metro Systems involving Project Management and Supervision for Civil works, Systems, Rolling stock and Traction. In case of JV/Consortium all the partners should jointly suffice the similar Consultancy work criteria. To clarify further, in case of JV/Consortium comprising of three partners then one partner may suffice the similar Consultancy services for Civil works, the other partner may suffice the requirement of Systems and the third partner may suffice the requirement of Rolling stock, traction and a combination of any the four services mentioned above. The Similar Works Consultancy is further clarified as:
 - (i) **“One similar Consultancy work”** for an existing JV/Consortium is the work performed by the already existing JV/Consortium on the project with a single



work order.

- (ii) **“Two similar Consultancy work”** for the existing/new JV/Consortium is the maximum of two different work orders claimed by the existing/new JV/Consortium.
- (iii) **“Three similar Consultancy work”** for the existing/new JV/Consortium is the maximum of three different work orders claimed by the existing/new JV/Consortium.

- The applicant must be of National / International repute having prior experience of working as General/Project Consultant for a light, medium or large Metro System.
- The applicant shall submit details of works executed by them for the works to be considered for qualification of work experience criteria in a format prescribed in **Appendix-II-Annexure-2** of empanelment document. **Documentary proof such as certificates from client clearly indicating the nature/scope of work, amount received for such work should be submitted. Besides the above, Consultant may submit in addition self-certification for any further elaboration/explanation along with an undertaking that if any content of the self-certification is found not to be correct or manipulated then the same shall cause disqualification. The offers submitted without this documentary proof shall not be evaluated.**

In case the General Consultancy work is executed by the Consultant for a private Client/International Projects (who have executed Light, Medium and Heavy Metro Projects for the Government), besides the Client certificate mentioned above, a copy of work order, bill wise details of payment received certified by Chartered Accountant (CA)/Statutory Auditor and copy of final/last bill paid by client shall also be submitted.

- Value of completed portion of any ongoing work up to **31.03.2019** will also be considered for qualification of work experience criteria. For completed works, value of work done shall be updated to **31.03.2019** price level assuming 5% inflation for Indian Rupees every year and 2% for foreign currency portions per year. Cost of the work will be converted in INR as per exchange rate prescribed in this document.
- In case credentials of required criteria stipulated in this eligibility have been submitted wherein the applicant has completed the similar works as a member of JV/Consortium then the value of work as per the applicants percentage participation in such JV/Consortium shall be considered.

5.3 Financial Standing: Applicant will be awarded upto a maximum of 20 marks only if he has the financial capabilities as below:

- (i) **T1 –Liquidity:** It is necessary that the applicant can withstand cash flow that the contract will require until payments received from the Employer. Liquidity therefore becomes an important consideration.

This shall be seen from the balance sheets and/or from the banking reference. Net current assets and/or documents including banking reference, should show that the applicant has access to or has available liquid assets, lines of credit and other financial means to meet cash flow of

Category 1 – INR 67 Million (or US \$ 0.96 Million)

Category 2 – INR 50 Million (or US \$ 0.72 Million)

Category 3 – INR 34 Million (or US \$ 0.49 Million)

Category 4 – INR 25 Million (or US \$ 0.36 Million)

Category 5 – INR 17 Million (or US \$ 0.24 Million)

for this Empanelment, net of applicant’s commitments for other Contracts. Banking reference (format prescribed in **Appendix-II-Annexure-5A** of Empanelment document) should contain in clear terms the amount that bank will be in a position to lend for this work to the applicant In case the Net Current Assets (as seen from the Balance Sheets) are negative, only the Banking references will be considered.



Otherwise the aggregate of the Net Current Assets and submitted Banking references will be considered for working out the Liquidity.

The banking reference should be from a Scheduled Bank in India or in case of from an international bank of repute acceptable to MAHA-METRO and it should not be more than 3 months old as on date of submission of applications for this Empanelment document.

In case of JV/Consortium the above requirement must be satisfied jointly by the JV/Consortium partners. All the JV/Consortium partners must submit a Certificate from Chartered Accountant for the same.

- (ii) **T2 - Net Worth:** Net Worth of Applicant at the end of the last audited financial year should be more than
- Category 1 – INR 100 Million (or US \$ 1.44 Million)**
Category 2 – INR 75 Million (or US \$ 1.08 Million)
Category 3 – INR 50 Million (or US \$ 0.72 Million)
Category 4 – INR 38 Million (or US \$ 0.55 Million)
Category 5 – INR 25 Million (or US \$ 0.36 Million)
- In case of JV/Consortium the above requirement must be satisfied jointly by the JV/Consortium partners. All the JV/Consortium partners must submit a Certificate from Chartered Accountant for the same and the Net Worth of each member must be positive.**
- (iii) **T3 - Annual Turnover:** The average annual turnover of applicant from consultancy works of last seven financial years should be more than
- Category 1 – INR 600 Million (or US \$ 8.62 Million)**
Category 2 – INR 450 Million (or US \$ 6.46 Million)
Category 3 – INR 300 Million (or US \$ 4.31 Million)
Category 4 – INR 225 Million (or US \$ 3.23 Million)
Category 5 – INR 150 Million (or US \$ 2.15 Million)
- In case of JV/Consortium the above requirement must be satisfied jointly by the JV/Consortium partners. All the JV/Consortium partners must submit audited Balance sheet for the same.**

- Notes:**
- Financial data for latest last seven audited financial years has to be submitted by the applicant in the format prescribed in **Appendix-II-Annexure-5** of empanelment document along with audited balance sheets. The financial data in shall be certified by Chartered Accountant / Company Auditor with his stamp and signature in original. In case audited balance sheet of the last financial year is not made available by the applicant, then the applicant has to submit an affidavit certifying that 'the balance sheet has actually not been audited so far'. In such a case the financial data of previous '7' audited financial years will be taken into consideration for evaluation leaving the latest financial year for which audited balance sheet is not available. Thus, in such case the last 7 years will be from March 2017-18 to March 2011-12. In case of the bidder being a Subsidiary company of a -Foreign Holding (Parent) Company then the financial year shall be from Jan to Dec for financial data of a Foreign Holding (Parent) Company i.e. from year 2018 to year 2012. If the audited balance sheet of any year other than the last year is not submitted, the application may be considered as non-responsive.
 - Where a work is undertaken by a JV/Consortium, only that portion of the contract which is undertaken by the concerned applicant/member should be indicated and the remaining done by the other members of the JV/Consortium be excluded. This is to be substantiated with documentary evidence.



5.4 Bid Capacity Criteria:

Bid Capacity: The applicant will be awarded upto a maximum of 20 marks only if their available bid capacity is more than the approximate cost of the work as per notification of Empanelment Document. Available bid capacity will be calculated based on the following formula:

$$\text{Available Bid Capacity} = 2 * A * N - B$$

Where,

A = Maximum of the value of Consultancy works executed in any one year during the last seven financial years (updated to 01.04.2019 price level assuming 5% inflation for Indian Rupees every year and 2% for foreign currency portions per year).

N = No of years prescribed for completion of the work

B = Value of existing commitments (as on 31.03.2019) for on-going Consultancy work during period of 60 months for all CATEGORIES w.e.f. 01.04.2019.

CATEGORY-1: Bid capacity shall be greater than INR 2000 Million.

CATEGORY-2: Bid capacity shall be greater than INR 1500 Million.

CATEGORY-3: Bid capacity shall be greater than INR 1000 Million.

CATEGORY-4: Bid capacity shall be greater than INR 750 Million.

CATEGORY-5: Bid capacity shall be greater than INR 500 Million.

In case of JV/Consortium the above requirement must be satisfied jointly by the JV/Consortium partners. In case of JV/Consortium each member must submit the relevant details as specified above.

Notes:

- Financial data for latest last seven financial years as per format prescribed in **Appendix-II-Annexure-3** of empanelment document shall be submitted by the applicant along with audited financial statements. This financial data shall be certified by the Chartered Accountant / Company Auditor with his stamp and signature in original.
- Value of existing commitments for on-going Consultancy works during period of **60 months** with effect from 01.04.2019 shall be submitted by the applicant as per format prescribed in **Appendix-II-Annexure-4 and Annexure-4A** of empanelment document. These data shall be certified by the Chartered Accountant / Company Auditor with his stamp and signature in original.

5.5 The applicant/JV/Consortium must submit brief narration of the share of the work performed individually/jointly by him in the Consortium/JV specifying the approach and methodology, work plan (including Schedule), organization and staffing, claims management methodology and training plan adopted along with innovation if any adopted for performing the assignments submitted for the similar work experience.

5.6 The applicant/consultant will be qualified category-wise based on the following criteria:

- (i) For compliance of clause 5.2 to 5.4 above the applicant will be awarded a maximum of 70 marks based on his experience and financial capabilities.
- (ii) For compliance of clause 5.5 above the applicant will be awarded a maximum of 30 marks depending upon his submission of understanding of the work and methodology adopted by them for performing the previous assignment under Similar works experience.

- (iii) The applicant who gets a combined score of (i) & (ii) above more than 70 marks then only he shall be eligible for empanelment in the respective categories.





Annexure 1

The Managing Director
Maharashtra Metro Rail Corporation
Limited 28/2, Anand Nagar, C.K. Naidu
Road, Civil Lines, Nagpur-440001,
India

(Applicant to provide date and reference)

Dear Sir,

LETTER FOR APPLICATION – EMPANELMENT OF GENERAL CONSULTANT
FOR VARIOUS UPCOMING & EXISTING METRO RAIL PROJECTS OF MAHA-
METRO

We, the undersigned, apply to be empaneled for the referenced Project and declare the following:

- (a) We are duly authorized to represent and act on behalf of _____ (herein after the "Applicant")
- (b) We have examined and have no reservations to the Empanelment Document including Addenda No(s)_____.
- (c) We are attaching with this letter, the copies of original documents defining: -
 - i. the Applicant's legal status;
 - ii. its principal place of business; and
 - iii. its place of incorporation with registration details.

For applications by joint ventures / consortium, all information requested in the Empanelment document is to be provided for the joint venture, if it already exists, and for each joint venture partner separately. The lead partner should be clearly identified. Each member of Group shall sign

- (d) We further declare that we have not engaged any agent or middleman for this Empanelment process or any other part of this empanelment process arising from it. We have not paid/ will not be paying any commissions, gratuities or fees with respect to the empanelment process.
- (e) MAHA-METRO and/or its authorized representatives are hereby authorized to conduct any inquiries or investigations to verify the statements, documents and information submitted in connection with this application, and to seek clarification from our bankers and clients. This Letter of Application will also serve as authorization for any individual or authorized representative of any institution referred to in the supporting information, to provide such information deemed necessary and as requested by MAHA-METRO.

- (f) MAHA-METRO and/or its authorized representatives may contact the following nodal persons for further information on any aspects of the Application:



Contact 1	Name	Telephone 1	E Mail
	Address		

- (g) This application is made in the full understanding that:
- i. Empanelment process will be subject to verification of all information submitted at the discretion of MAHA-METRO.
 - ii. MAHA-METRO reserves the right to reject or accept any or all applications, cancel the Empanelment process without any obligation to inform the applicant about the grounds of same;
- (h) The undersigned declare that the statements made and the information provided in the duly completed application are complete, true, and correct in every detail. We also understand that in the event of any information furnished by us being found later on to be incorrect or any material information having been suppressed, MAHA-METRO may delete our name from the list of qualified Applicants:

Our Application is valid till (date in figures and words)

NAME.....

In the Capacity of

Signed

Duly authorized to sign the Application for and on behalf of

Date



Annexure-A1**POWER OF ATTORNEY**

Know all men by these presents, we _____ (name and address of the registered office of the Sole Applicant) do hereby constitute, appoint and authorize Mr. / Ms. ___ resident of _____ (name and address of residence) who is presently employed with us and holding the position of _____ as our attorney, to do in our name and on our behalf, all such acts, deeds and things necessary in connection with or incidental to the Application for the Empanelment as General Consultant for VARIOUS UPCOMING & EXISTING METRO RAIL PROJECTS OF MAHA-METRO AND OTHER WORKS (the "Project")., including signing and submission of all documents and providing information / responses to the Maharashtra Metro Rail Corporation Limited (MAHA-METRO), India, representing us in all matters in connection with our Application for the VARIOUS UPCOMING & EXISTING METRO RAIL PROJECTS OF MAHA-METRO AND OTHER WORKS.

We hereby agree to ratify all acts, deeds and things lawfully done by our said attorney pursuant to this Power of Attorney and that all acts, deeds and things done by our aforesaid attorney shall and shall always be deemed to have been done by us.

For
(Signature)
(Name, Title and Address)

Accept

.....(Signature)

(Name, Title and Address of the Attorney)

Notes:

- To be executed by the sole Applicant or the Lead Member in case of a JV/Consortium..
- The mode of execution of Power of Attorney should be in accordance with the procedure, if any, laid down by the applicable law and the charter documents of the executant(s) and when it is so required the same should be under common seal affixed in accordance with the required procedure.
- Also, wherever required, the executant(s) should submit for verification the extract of the charter documents and documents such as a resolution / Power of attorney in favour of the Person executing this Power of Attorney for the delegation of power hereunder on behalf of the executant(s).



Annexure-A2**POWER OF ATTORNEY FOR LEAD MEMBER IN CASE OF JV/CONSORTIUM**

Whereas the Nagpur Metro Rail Corporation Limited (MAHA-METRO) has invited applications from interested parties for Empanelment as General Consultant for Various Upcoming & Existing Metro Rail projects of Maha-Metro.

Whereas, the members of the JV/Consortium are interested in applying for the Empanelment and providing the consultancy in accordance with the terms and conditions of the Empanelment document (ED), and other subsequent documents such as the Request for Proposal (RFP), Agreement and other connected documents in respect of the Project, and

Whereas, it is necessary under the Empanelment Document for the members of the JV/Consortium to designate one of them as the Lead Member (having highest percentage of share) with all necessary power and authority to do for and on behalf of the JV/Consortium, all acts, deeds and things as may be necessary in connection with the JV/Consortium's Application for the Project or in the alternative to appoint one of them as the Lead Member who, acting jointly, would have all necessary power and authority to do all acts, deeds and things on behalf of the JV/Consortium, as may be necessary in connection with the JV/Consortium's Application for the Project.

NOW THIS POWER OF ATTORNEY WITNESSETH THAT:

We, M/s _____, M/s _____, M/s _____ and M/s _____ (the respective names of the members along with address of their registered offices) do hereby designate M/s _____ (name along with address of the registered office) being one of the members of the JV/Consortium, as the Lead Member of the JV/Consortium, to do on behalf of the JV/Consortium, all or any of the acts, deed or things necessary or incidental to the JV/Consortium's Application for the Project, including submission of Application, participating in conference, responding to queries, submission of information / documents and generally to represent the JV/Consortium in all its dealings with MAHA-METRO, any other Government Agency or any person, in connection with Project until culmination of the process of application, pre- qualification, short listing of Applicant and thereafter till the Agreement is entered into with MAHA-METRO.

OR

We, M/s _____, M/s _____, M/s _____ and M/s _____ (the respective names and addresses of the registered office) do hereby designate M/s _____ (name and address of registered office) being one of the members of the JV/Consortium as the Lead Member, of the JV/Consortium who, acting jointly, shall do, all or any of the acts, deeds or things necessary or incidental to the JV/Consortium's Application for the Project including submission of application, participating in conferences, responding to queries, submission of information/ documents and generally to represent the JV/Consortium in all its dealings with MAHA-METRO, any other Government Agency or any person in connection with the Project until culmination of the process of application, empanelment document, short -listing of Applicant and thereafter till the Agreement is entered into with MAHA-METRO.

We hereby agree to ratify all acts, deeds and things lawfully done by Lead Member our said attorney pursuant to this Power of Attorney and that all acts, deeds and things done by our aforesaid attorney.

Dated this _____ day of _____ 200_.

[Executant(s)]



(To be executed by all the members in the JV/Consortium)

Note:

- The mode of execution of the Power of Attorney should be in accordance with the procedure, if any, laid down by the applicable law and the charter documents of the executant(s) and when it is so required the same should be under common seal affixed in accordance with the required procedure.
- The executant(s) shall submit for verification the extract of the charter documents and documents such as resolution/ Power of attorney in favour of the person executing this Power of attorney for the designation of power hereunder on behalf of the applicant.



Annexure-A3

This Memorandum of Understanding (MoU) entered into this _____ day of _____ 200 at _____

Among

(hereinafter referred as" _____") and having office at _____, India **Party of the First Part**

And

(hereinafter referred as" _____") and having office at _____, India **Party of the Second Part**

And

(hereinafter referred as" _____") and having office at _____, India **Party of the Third Part**

The parties are individually referred to as **Party** and collectively as **Parties**.

WHEREAS the MAHA-METRO has invited applications from interested parties for Empanelment as General Consultant for Various Upcoming & Existing Metro Rail projects of Maha-Metro.

The services shall include tender packaging, preparation and finalization of tender documents for civil and system contracts including preparing the conceptual plans for complete civil engineering and system works, assisting MAHA-METRO to fix contracts for Detail Design Consultants (DDC) both for civil and system works, evaluation of tenders, proof checking of designs for civil and system work where the contract is on design and construct basis. GC is also expected to carryout complete checking, verification and approval to all civil/system contract design, submissions including that of rolling stock, certification of vendor sources and inspection of vendor items wherever needed, supervision of all construction activities including installation of systems and commissioning of rolling stock, integration of systems, ensuring specifications, standards, safety and quality at worksites, monitoring and reporting the progress of all works, supervision of system trials and system integration and ensuring commissioning of the entire line in stages as per the target dates laid, at the same time exercising utmost cost control measures.

AND WHEREAS the Parties have had discussions for formation of a JV/Consortium for applying for the said Project and have reached an understanding on the following points with respect to the Parties' rights and obligations towards each other and their working relationship.

IT IS HEREBY AS MUTUAL UNDERSTANDING OF THE PARTIES AGREED AND DECLARED AS FOLLOWS:

1. That the Parties shall carry out all responsibilities as Consultancy firm in terms of the Consultancy agreement.
2. That the roles and the responsibilities of each Party at the stage of Application shall be as follows:



3. That the minimum equity holding of each Party (in percentage term) in the Company shall be as follows:

Name of the Party	% of share
-------------------	------------

.....
.....

4. That the Parties shall be jointly and severally liable for the execution of the Project in accordance with the terms of the Consultancy agreement.
5. That the Parties affirm that they shall render Consultancy services in good faith and shall take all necessary steps to see the Project through expeditiously.
6. That this MoU shall be governed in accordance with the laws of India and courts in Maharashtra shall have exclusive jurisdiction to adjudicate disputes arising from the terms herein.

In witness whereof the Parties affirm that the information provided is accurate and true and have caused this MoU to be duly executed on the date and year above mentioned.

(party of the first part)

(party of the second part)

(party of the third part)

Witness:

1.

2.



Annexure-A4
UNDERTAKING

(In case of JV/Consortium to be given separately by each partner)

1. I, the undersigned, do hereby certify that all the statements made in the required attachments are true and correct.
2. The undersigned also hereby certifies that neither our firm M/s _____ nor any of its directors/ constituent partners have abandoned any work of MAHA-METRO nor any contract awarded to us for such works has been rescinded, during last five years prior to the date of this application.
3. The undersigned also furnish(es) undertaking that none of the directors/ constituent partners of our firm have been declared by any court of law as proclaimed offenders and also that neither our firm nor any of its directors/ constituent partners have been convicted under any law for the offences punishable under Indian Penal Code, Negotiable Instruments Act or any Labour/employee beneficial legislation.
4. The undersigned hereby authorize(s) and request(s) any bank, person, firm or corporation to furnish pertinent information deemed necessary and requested by MAHA-METRO to verify this statement or regarding my (our) competence and general reputation.
5. The undersigned understands and agrees that further qualifying information may be requested, and agrees to furnish any such information at the request of the MAHA-METRO.

Signed by an authorized officer of the firm

Title of Officer

Name of Firm

Date



Part II ' PRE'QUALIFICATION QUESTIONNAIRE

Notes:

- a) This part of the document provides various proformas for providing the information. Applicants must sign each page of this part of the document issued by MAHA-METRO and provide all the required information on separate sheets but strictly as per the given proformas. Each information sheet must also be duly signed.
- b) In the box

Y	N
---	---

 'Y' denote Yes and 'N' denote No. Please tick-mark whichever is applicable in the document issued by MAHA-METRO.
- c) The pages of this Document submitted shall be numbered sequentially and the page number of each answer should be noted against the respective item below on this original document issued by MAHA-METRO.



PRO'FORMA – SECTION 1

1.	<p>Title: Document for Empanelment for General Consultancy Services for procurement, construction, testing and commissioning of the complete VARIOUS UPCOMING & EXISTING METRO RAIL PROJECTS OF MAHA-METRO including all civil engineering structures, tracks, signals, telecom facilities, traction arrangements, air conditioning & ventilation, rolling stock, maintenance depots, stations, operation control centre, offices, residential buildings, station integration areas, bridges, flyovers, elevated and surface sections over the project routes and integration with other modes of transport, etc.</p> <p>The services shall include tender packaging, preparation and finalization of tender documents for civil and system contracts including preparing the conceptual plans for complete civil engineering and system works, assisting MAHA-METRO to fix contracts for Detail Design Consultants (DDC) both for civil and system works, evaluation of tenders, proof checking of designs for civil and system work where the contract is on design and construct basis. GC is also to carryout complete checking, verification and approval to all civil/system contract design, submissions including that of rolling stock, certification of vendor sources and inspection of vendor items wherever needed, supervision of all construction activities including installation of systems and commissioning of rolling stock, integration of systems, ensuring specifications, standards, safety & quality at worksites, monitoring and reporting the progress of all works, supervision of system trials and system integration and ensuring commissioning of the entire line in stages as per the target dates laid, at the same time exercising utmost cost control measures.</p>
2.	<p>State the structure of the applicant's organization (applicants to complete/delete as appropriate)</p> <p>Individual company Joint Venture Consortium</p>
3.	<p>For applicants who are individual companies or firms, state the following:</p> <p>Name of Company or firm:</p> <p>Legal status: (e.g. incorporated private company, unincorporated business, etc.) </p> <p>Registered address:</p> <p>Year of Incorporation.....</p> <p>Principal place of business:</p> <p>Contact person:</p> <p>Contact person's title:</p>



	Address, telephone, facsimile number and e-mail ID of contact person:																				
4.	<p>Applicants who are in a group, State the following:</p> <table border="0"> <thead> <tr> <th data-bbox="288 277 539 309">Names of members (Lead member first):</th> <th data-bbox="560 277 715 309">Legal Status</th> <th data-bbox="746 277 986 405">Registered address and principal place of business:</th> <th data-bbox="1018 277 1161 309">Percentage participation</th> <th data-bbox="1209 277 1337 405">Country of incorporation or Domicile.</th> </tr> </thead> <tbody> <tr> <td data-bbox="288 416 539 448">(1).....</td> <td data-bbox="560 416 715 448">.....</td> <td data-bbox="746 416 986 448">.....</td> <td data-bbox="1018 416 1161 448">.....</td> <td data-bbox="1209 416 1337 448">.....</td> </tr> <tr> <td data-bbox="288 472 539 504">(2).....</td> <td data-bbox="560 472 715 504">.....</td> <td data-bbox="746 472 986 504">.....</td> <td data-bbox="1018 472 1161 504">.....</td> <td data-bbox="1209 472 1337 504">.....</td> </tr> <tr> <td data-bbox="288 528 539 560">(3).....</td> <td data-bbox="560 528 715 560">.....</td> <td data-bbox="746 528 986 560">.....</td> <td data-bbox="1018 528 1161 560">.....</td> <td data-bbox="1209 528 1337 560">.....</td> </tr> </tbody> </table> <p>Authorized Contact person (from lead member)</p> <p>.....</p> <p>Contact person's title:</p> <p>Address, telephone, facsimile and e-mail ID of contact person:</p> <p>.....</p>	Names of members (Lead member first):	Legal Status	Registered address and principal place of business:	Percentage participation	Country of incorporation or Domicile.	(1).....	(2).....	(3).....
Names of members (Lead member first):	Legal Status	Registered address and principal place of business:	Percentage participation	Country of incorporation or Domicile.																	
(1).....																	
(2).....																	
(3).....																	
5.	<p>Applicants are to present this information in Section 1 on sheets which are to be clearly referenced as being in response to this Question- 5.</p> <p>For the applicant, (in case of group, for each group member), state the following information in section 1</p> <ul style="list-style-type: none"> • Date of incorporation of organization. • Names and titles of Directors or partners. • Please provide details of pending Litigation cases in Annexure-PI. Applicants found to be habitual of repeated litigation are liable to be disqualified. • Has the company or firm or any partner of the group ever failed to complete any work awarded or has been levied liquidated damage for delay in completion of work? If Yes give explanation (Annexure P2). <table border="1" data-bbox="1299 1263 1422 1323" style="float: right;"> <tr> <td style="text-align: center;">Y</td> <td style="text-align: center;">N</td> </tr> </table> • Has the firm or company or any partner of the group been blacklisted by any Government Department / PSU in last five years and such black listing continues to subsist. <table border="1" data-bbox="1310 1413 1433 1473" style="float: right;"> <tr> <td style="text-align: center;">Y</td> <td style="text-align: center;">N</td> </tr> </table> 	Y	N	Y	N																
Y	N																				
Y	N																				



PRO'FORMA – SECTION 2

6.	<ul style="list-style-type: none"> • Name of Group Members <ol style="list-style-type: none"> 1. (Lead Member)..... 2. 3. <p style="margin-left: 40px;">Have you enclosed the following? If so, indicate page numbers.</p> • Letter of application duly signed by authorized representative of every member of JV as per proforma on page no. <table border="1" style="display: inline-table; vertical-align: middle;"><tr><td style="width: 20px; height: 20px; text-align: center;">Y</td><td style="width: 20px; height: 20px; text-align: center;">N</td></tr></table> Page No. • AOA (Articles of Association) of each member <table border="1" style="display: inline-table; vertical-align: middle;"><tr><td style="width: 20px; height: 20px; text-align: center;">Y</td><td style="width: 20px; height: 20px; text-align: center;">N</td></tr></table> Page No. --- • Power of Attorney to own employee by each member and to Authorized representative of Lead Member <table border="1" style="display: inline-table; vertical-align: middle;"><tr><td style="width: 20px; height: 20px; text-align: center;">Y</td><td style="width: 20px; height: 20px; text-align: center;">N</td></tr></table> Page No. • Undertaking for "Joint and Several Responsibility" signed <table border="1" style="display: inline-table; vertical-align: middle;"><tr><td style="width: 20px; height: 20px; text-align: center;">Y</td><td style="width: 20px; height: 20px; text-align: center;">N</td></tr></table> Page No. • MOU/ Joint Venture agreement <table border="1" style="display: inline-table; vertical-align: middle;"><tr><td style="width: 20px; height: 20px; text-align: center;">Y</td><td style="width: 20px; height: 20px; text-align: center;">N</td></tr></table> Page No. • Functional Division of Work between the members of the Group <table border="1" style="display: inline-table; vertical-align: middle;"><tr><td style="width: 20px; height: 20px; text-align: center;">Y</td><td style="width: 20px; height: 20px; text-align: center;">N</td></tr></table> Page No. • Details of previous collaborations if any, between Group members <table border="1" style="display: inline-table; vertical-align: middle;"><tr><td style="width: 20px; height: 20px; text-align: center;">Y</td><td style="width: 20px; height: 20px; text-align: center;">N</td></tr></table> Page No. 	Y	N	Y	N	Y	N	Y	N	Y	N	Y	N	Y	N
Y	N														
Y	N														
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Y	N														
7.	<p>In case of International applicants, is an Indian partner(s) experienced in the appropriate discipline <table border="1" style="display: inline-table; vertical-align: middle;"><tr><td style="width: 20px; height: 20px; text-align: center;">Y</td><td style="width: 20px; height: 20px; text-align: center;">N</td></tr></table></p> <p>a member of the Group?</p> <p><input type="checkbox"/> If yes, provide list of disciplines and percentage of work.</p> <p><input type="checkbox"/> If yes mention the discipline and percentage contribution to the whole work.</p>	Y	N												
Y	N														
8.	<p>In the case of applications from groups, does Section - 1 contain details of : <table border="1" style="display: inline-table; vertical-align: middle;"><tr><td style="width: 20px; height: 20px; text-align: center;">Y</td><td style="width: 20px; height: 20px; text-align: center;">N</td></tr></table></p> <p>Proposed equity participation by each member for the proposed work?</p> <ul style="list-style-type: none"> • Areas of specialization/responsibility of each member of the consortium? <table border="1" style="display: inline-table; vertical-align: middle;"><tr><td style="width: 20px; height: 20px; text-align: center;">Y</td><td style="width: 20px; height: 20px; text-align: center;">N</td></tr></table> • Extent of participation (including deployment of major plant items and key Personnel) by each member? <table border="1" style="display: inline-table; vertical-align: middle;"><tr><td style="width: 20px; height: 20px; text-align: center;">Y</td><td style="width: 20px; height: 20px; text-align: center;">N</td></tr></table> <p>• If the answer to any question is No, please give reasons.</p> <p>.....</p>	Y	N	Y	N	Y	N								
Y	N														
Y	N														
Y	N														



PROFORMA SECTION –3

9.	<p>State the number of years the applicant (or each group member) has been undertaking work similar in scope and nature to the works for which empanelment document is sought</p> <table border="1" data-bbox="308 264 1433 539"> <thead> <tr> <th data-bbox="308 264 959 309">Name of Applicant</th> <th data-bbox="959 264 1433 309">No. of years</th> </tr> </thead> <tbody> <tr> <td data-bbox="308 331 959 376">(1)</td> <td data-bbox="959 331 1433 376">.....</td> </tr> <tr> <td data-bbox="308 387 959 432">(2)</td> <td data-bbox="959 387 1433 432">.....</td> </tr> <tr> <td data-bbox="308 443 959 488">(3)</td> <td data-bbox="959 443 1433 488">.....</td> </tr> </tbody> </table>	Name of Applicant	No. of years	(1)	(2)	(3)
Name of Applicant	No. of years								
(1)								
(2)								
(3)								
10.	<p>Performance Record</p> <p>Applicants should carefully scrutinize the Technical Criteria as elaborated in Part I - Instruction to Applicants in this Empanelment document and compile a detailed list indicating their previous experience of Consultancy to a similar nature of work.</p> <p>Information and documentary evidence associated with the performance and relevant experience of the applicant or each constituent member shall be clearly referenced and enclosed in Section -3. The applicant will give the above details in descending order of financial year for each Group member. Use Annexure 2 and 2(A) of this document for the purpose of providing the information of performance record.</p> <p>(Use a separate sheet for each Assignment and include in the Questionnaire)</p>								
11.	<p>Works in Hand. Applicants should indicate, details for each contract/commitment which is anticipated to be in hand as on the 31.03.2019 and the expected year-wise value of completion of the balance works in the next five years on the proformas given in Annexure 4 and a Summary in Annexure 4A of the document.</p>								



PROFORMA SECTION 4

12.	<p>Staff: Applicants should indicate the number of staff employed (total and at specified offices). This information should be only in respect of regular employees who are for more than six month with the applicant and are specifically relevant and going to be employed on the VARIOUS UPCOMING & EXISTING METRO RAIL PROJECTS OF MAHA-METRO.</p> <p>Name of Applicant</p>		
	Location	Managerial (1)	Technical & Engineers (2)
		Supervisory (other than (1) and (2) (3)	
	1.		
	2.		
	3.		
	etc.		
	TOTAL		



PROFORMA SECTION 5

13.	Include in Section -5 details of ownership and control of applicant or if a group, of each constituent member..
14.	Have you in Section -5 enclosed documents, including Banking Reference, to demonstrate that you have access to, or have available, liquid assets, lines of credit and other financial means sufficient to meet the required cash flow, after meeting your commitments for other contracts and other liabilities. Financial information to be provided as per requirement in Annexure 5

Y	N
---	---



ANNEXURE P1: Pending Litigation

Applicant's legal name

Date.....

Group Member's Legal Name

Page..... of Pages

Each Applicant or member of a JV must fill in this form

<i>Pending Litigation</i>			
<p> Number of pending litigation: _____</p> <p> Pending Litigation in terms of arbitration, litigation etc. is as mentioned below:</p>			
Year	Assignment Identification and Matter in Dispute	Value of Assignment	Value of Pending Claim in INR or any other currency
	Contract Name: Name of Employer Address of Employer Matter in Dispute		
	Contract Name: Name of Employer Address of Employer Matter in Dispute		
	Contract Name: Name of Employer Address of Employer Matter in Dispute		



Applicant's Name:

ANNEXURE -2

Projects having costs as per Eligibility Criteria

Project Title:	Location:
Scope: (Give salient features of the work)	Address:
Client:	
Client's Representative:	Tel.:
Give the details of Length of Metro specifying the Underground and Elevated	
Length separately	
Was an Indian Government standard form of contract used?	<input type="checkbox"/> Y <input type="checkbox"/> N
Was an International standard form of contract used?	<input type="checkbox"/> Y <input type="checkbox"/> N
Was the work carried out as alone?	<input type="checkbox"/> Y <input type="checkbox"/> N
Was the work carried out as a member of a group?	<input type="checkbox"/> Y <input type="checkbox"/> N
If a group, indicate percentage participation and area/s of participation.	
Date of commencement of work	Date of completion of work
Was the date of completion given in the original contract extended?	<input type="checkbox"/> Y <input type="checkbox"/> N
If so, how much and why?	
Were any penalties imposed? If yes, give details	<input type="checkbox"/> Y <input type="checkbox"/> N
Were any penalties imposed for Reasons other than delay. If yes, give details.	<input type="checkbox"/> Y <input type="checkbox"/> N
Was arbitration / litigation Commenced?	<input type="checkbox"/> Y <input type="checkbox"/> N
a) During execution	<input type="checkbox"/> Y <input type="checkbox"/> N
b) After Completion of work	<input type="checkbox"/> Y <input type="checkbox"/> N
c) During operation and maintenance	<input type="checkbox"/> Y <input type="checkbox"/> N
d) If answer to (a) or (b) is Yes, then give number of arbitration cases & details thereof.	
Was litigation commenced? If yes, give details.	<input type="checkbox"/> Y <input type="checkbox"/> N
Contract Value and Actual Value of Work Executed (in Indian Rupees in crores)	
Note: The above data will be updated to 31.03.2019 price level assuming 5% inflation for Indian Rupees every year and 2% for foreign currency portions per year.	
Details of works undertaken,	
Were Quality Assurance obligations required in the contract?	<input type="checkbox"/> Y <input type="checkbox"/> N
If Yes whether they were fulfilled? If not, why?	<input type="checkbox"/> Y <input type="checkbox"/> N
Has the applicant or any member of the group who executed the Consultancy work been blacklisted by a client? If Yes Why?	<input type="checkbox"/> Y <input type="checkbox"/> N
Project Description: (Clearly indicate the part of the work assigned to the applicant(s))	



ANNEXURE ' 2A: Summary of Information provided in Annexure'2

Applicant's legal name

Date.....Gr

Group Member's legal name.....

Page of pages

Name of Applicant	Total Number of Works	No. of contracts delayed, i.e., completed beyond the original date of completion	Total value of all works completed in the last 07 financial years



ANNEXURE 3:
FINANCIAL DATA

(CONSULTANCY WORKS DONE DURING THE LATEST LAST SEVEN FINANCIAL YEARS)

NAME OF THE APPLICANT:

(All amounts in Indian Rupees in crores)

Sr. No.	DESCRIPTION	Financial Data for Last 7 Audited Financial Years						
		Year 2011-2012	Year 2012-2013	Year 2013-2014	Year 2014-2015	Year 2015-2016	Year 2016-2017	Year 2017-2018
1	2	3	4	5	6	7	8	9
	Total value of <i>Consultancy work done</i> as per audited financial statements							

NOTE:

1. Separate Performa shall be used for each member in case of JV/Consortium.
2. Attach attested copies of the Audited Financial Statements of the last seven financial years as Annexure.
3. All such documents reflect the financial data of the tenderer or member in case of JV/Consortium, or holding company in case the tenderer or a member of tenderer is a subsidiary company registered in India of a Foreign holding company and not that of sister or group company.
4. The financial data in above prescribed format shall be certified by Chartered Accountant / Company Auditor in original under his signature, stamp and membership number.
5. The above financial data will be updated to **31.03.2019** price level assuming 5% inflation for Indian Rupees every year and 2% for foreign currency portions per year.



**ANNEXURE 4:
PROJECTS IN HAND**

Applicant's legal name

Date.....

Group Member's legal name.....

Page of pages

Reference Q 11

Name and brief particulars of Consultancy contract (Clearly indicate the part of the work assigned to the applicant(s))	Name of client with telephone number and email id	Contract Value in INR in crores (Give only the value of work assigned to the applicant(s))	Value of balance work yet to be done as on 01.04.19 (in Indian Rupees in crores)	Original Completion Date	Estimated Completion Date	Delay if any, with reason	Value of work to be done in next 60 months (1st April 2019 to 31st March 2024)

Note : **The above Annexure'4 shall be certified by Chartered Accountant in original under his signature, stamp and membership number.**



ANNEXURE-4A:

***SUMMARY INFORMATION FOR WORKS IN HAND**

Applicant's legal name Date.....
Group Member's legal name..... Page of pages

Name of the applicant	Total number of works in hand	No. of contracts in which date of completion given in the original has already burst	** Total value of balance work (Indian Rupees in crore)				
			2019-20	2020-21	2021-22	2022-23	2023-24

This information should be for all the Consultancy works in progress including those listed in Annexure-4.

* Applicant should provide information on their current commitments or all contracts that have been awarded or for which a letter of intent or acceptance has been received or for contracts approaching completion but for which a completion certificate is yet to be issued.

** This figure should also include the year-wise break-up of part value of works to be executed in the five years period (1st April 2019 to 31st March 2024) even if completion of such works spills over beyond this period.

The above Annexure-4A shall be certified by Chartered Accountant in original under his signature, stamp and membership number.



ANNEXURE 5

FINANCIAL DATA

Applicant's legal name Date
 Group Member's legal name..... Page of
 pages

S. N.	Description	Financial Data for Latest Last 7 Years (Indian Rupees in crores)						
		2011-2012	2012-2013	2013-2014	2014-2015	2015-2016	2016-2017	2017-2018
1.	TOTAL ASSETS							
2.	CURRENT ASSETS							
3.	TOTAL EXTERNAL LIABILITIES							
4.	CURRENT LIABILITIES							
5.	ANNUAL PROFITS BEFORE TAXES							
6.	PROFITS AFTER TAXES							
7.	NET WORTH = [1 - 3]							
8.	LIQUIDITY = [2 - 4]							
9.	RETURN ON EQUITY							
10.	ANNUAL TURNOVER (FROM CONSULTANCY WORKS)							
11.	GROSS ANNUAL TURNOVER							

Attach copies of the audited balance sheets, including all related notes, income statements for the last seven audited financial years, as indicated above, complying with the following conditions.

- Separate Performa shall be used for each member in case of JV/Consortium.
- All such documents reflect the financial data of the Applicant, or member in case of JV, and not sister Company.
- Historic financial statements shall be audited by certified accountant / Statutory Auditor of the Company under their seal & stamp and shall be strictly based on Audited Annual Financial results of the relevant period(s). No statements for partial periods will be accepted.
- Historic financial statements must be complete, including all notes to the financial statements.
- Foreign applicants, in whose country calendar year is also the financial year, may submit all relevant data for the last 7 years i.e. 2012,2013, 2014, 2015, 2016, 2017 & 2018.
- Return on Equity = Net Income / Shareholders Equity
Return on Equity = Net Income is for the full fiscal year (before dividends paid to common stock holders but after dividends to preferred stock). Shareholders equity does not include preferred shares.
- The above Annexure-5 shall be duly certified by Chartered Accountant / Company Auditor in original under his signature, stamp and membership number.
- In case the Liquidity is inadequate, the tenderer may submit Banking Reference to establish that they have access to the required working capital.



ANNEXURE- 5A

SAMPLE FORMAT FOR BANKING REFERENCE FOR LIQUIDITY**BANK CERTIFICATE IN BANK'S LETTER HEAD**

This is to certify that M/s is a reputed company with a good financial standing.

If the contract for the work, namely.....is awarded to the above firm, we shall be able to provide overdraft / credit facilities to the extent of Rs..... to meet their working capital requirements for executing the above contract.

__Sd. __

Name of Bank: _____
 Senior Bank Manager _____
 Address of the Bank _____

**SAMPLE FORMAT FOR BANKING REFERENCE FOR LIQUIDITY (FOR
 JV/CONSORTIUM) BANK CERTIFICATE IN BANK'S LETTER HEAD**

This is to certify that M/s who has formed a JV/Consortium with M/s and M/s for participating in this bid, is a reputed company with a good financial standing.

If the contract for the work, namely.....is awarded to the above joint venture, we shall be able to provide overdraft / credit facilities to the extent of Rs..... to M/s to meet their working capital requirements for executing the above contract.

[This should be given by the JV/Consortium members in proportion to their financial participation]

__Sd. __

Name of Bank: _____
 Senior Bank Manager _____
 Address of the Bank _____



